

## Questionnaire

### I. Professional Background

- (1) Please furnish copies of all resumes and biographical statements issued by you or any other entity at your discretion or with your consent within the past ten years.
- (2) If you are a member of any licensed profession or occupation, please specify the following: the present status of each license; whether any such license has ever been withdrawn, suspended, or revoked; whether any disciplinary action of any kind has ever been taken in connection with your license. Please also list any applications for professional licenses or certifications that may have been denied, and the reasons for denial.
- (3) Please provide the names of all corporations, firms, partnerships, trusts, or other business enterprises, and all non-profit organizations and other such institutions with which you are now, or during the past ten years have been, affiliated with as an advisor, attorney or consultant.
- (4) Please chronologically list activities, other than those listed on your resume or biography, from which you have derived earned income (e.g., self-employment, consulting activities, writing, speaking, royalties and honoraria) for the past ten years.
- (5) Have you or your spouse ever registered as a lobbyist or other legislative agent to influence federal or state legislation or administrative acts? Have you or your spouse ever received payment for acting as a lobbyist or legislative agent? If so, please supply details.
- (6) If you or your spouse have performed any work for, received any payments from and/or made any payments to any foreign government, business, non-profit organization or individual, please describe the circumstances, and identify the source and amount. Also please specify if you or your spouse have ever been registered as an agent for a foreign principal.
- (7) If you or your spouse have ever lived or worked abroad, please describe the circumstances.
- (8) Briefly describe the most controversial matters you have been involved with during the course of your career.
- (9) Please provide the names, addresses and telephone numbers of three professional references. If possible, please furnish names of individuals with whom you have worked as a peer, a subordinate and as a supervisor.

## **II. Publications, Writings & Speeches**

- (10) **Writings:** Please list and, if readily available, provide a copy of each book, article, column or publication (including but not limited to any posts or comments on blogs or other websites) you have authored, individually or with others. Please list all aliases or "handles" you have used to communicate on the Internet.
- (11) **Testimony:** Please identify each instance in which you have testified before Congress or other legislative, administrative, investigative or regulatory body, and specify the subject matter of the testimony. If available, please provide summaries or transcripts of your testimony.
- (12) **Speeches:** Please identify all speeches you have given. If available please provide the text or recordings of each such speech or identify any recordings of speeches of which you are aware.
- (13) **Electronic communications:** If you have ever sent an electronic communication, including but not limited to an email, text message or instant message, that could suggest a conflict of interest or be a possible source of embarrassment to you, your family, or the President-Elect if it were made public, please describe.
- (14) **Diaries:** If you keep or have ever kept a diary that contains anything that could suggest a conflict of interest or be a possible source of embarrassment to you, your family, or the President-Elect if it were made public, please describe.

## **III. Relationships & Affiliations**

- (15) Please list all corporations, partnerships, trusts, or other business entities with which you or your spouse have ever been affiliated as an officer, director, trustee, partner, or holder of a significant equity or financial interest (i.e. any ownership interest of more than 5%), or whose decisions you or your spouse had the ability to influence. Please identify the entity, your relationship to the entity, and dates of service and/or affiliation.
- (16) Please list each membership, including any board memberships, you or your spouse have or have had with any political, civic, social, charitable, educational, professional, fraternal, benevolent or religious organization, private club or other membership organization (including any type of tax-exempt organization) during the past ten years. Please include dates of membership and any positions you may have had with the organization.
- (17) Have you or your spouse at any time belonged to any membership organization, including but not limited to those described in the preceding paragraph, that as a matter of policy or practice, denied or restricted membership or affiliation based on race, sex, disability, ethnic background, religion or sexual orientation or has been subject to a claim that it has done so? If so, please provide details.

- (18) Please specifically describe any affiliation you, your spouse or any member of your immediate family have, or have had, with any financial, banking, mortgage or insurance institution that is currently the subject of federal government intervention as part of the ongoing economic crisis. This question includes, but is not limited to, the following: Fannie Mae, Freddie Mac, AIG, and Washington Mutual.
- (19) If you currently serve or in the last ten years have served as an administrator, executor, trustee, receiver, or in any other similar fiduciary capacity, please describe for each: your exact position; the nature of your duties; the persons or entities for whom you act(ed) as a fiduciary; the dates during which you served in such a fiduciary capacity; and any supervising courts.
- (20) Other than the entities identified in questions 15-19 above, please provide the names and details of any individuals and organizations with which you or your spouse have been associated with which might present a conflict of interest with your proposed federal office, or have the potential for embarrassment.

#### **IV. Financial Information**

- (21) Please provide copies of all financial disclosure forms you or your spouse have been required to file within the past ten years, if applicable.
- (22) Please furnish a copy of each net worth statement that you have prepared and submitted for any purpose (e.g., bank loans) during the past ten years.
- (23) Please furnish a copy of all brokerage statements for investment accounts for the past year.
- (24) Please list all loans in excess of \$10,000 made to you or your spouse, or by you or your spouse, which existed at anytime during the past ten years. Please specify the creditor or debtor, interest rate, due date and any other key terms, and identify the purpose for which the liability was incurred. Please also specify the extent to which any such loans contained terms not generally available to the public, such as below-market rates.
- (25) Please furnish a copy of each trust of which you, your spouse or any child under 21 is a grantor or beneficiary. Also please furnish a copy of any amendment, and any document indicating a change in trustee of any such trust.
- (26) Please describe all real estate held in your name or in your spouse's name during the last ten years. Please include real estate held in combination with others, held in trust, held by a nominee, or held by or through any other third person or title-holding entity, and identify such other person or entity.

- (27) Please describe the mortgage terms for any properties listed under question 21, including the rate, date, holder, service entity, and any special circumstances surrounding the acquisition of the mortgage(s).
- (28) Have you or your spouse at any time held property (as a named owner of record individually or in combination with others) the title to which contained any restrictive covenant based on race, sex, ethnic background, religion or sexual orientation? If so, please provide all relevant information and records.
- (29) Please describe any contractual or informal arrangement you may have made with any person or business enterprise in regard to future employment or termination payments or financial benefits that will be provided to you if you enter federal government employment or you leave your current employment.
- (30) Do you, your spouse, or any member of your immediate family, or business in which you, your spouse, or immediate family member have a substantial interest, have any relationship with any government, whether federal, state, local, Indian or foreign, through contracts, consulting services, grants, loans or guarantees? If yes, please provide details.
- (31) Are there any categories of personal financial records (e.g., individual, partnership or sole proprietorship tax returns, mortgage documents, loan agreements) that you (or your spouse) will not release publicly if necessary? If so, please identify these records and state the reasons for withholding them.
- (32) Other than from relatives, or from close and longstanding personal friends on occasions such as birthdays or seasonal holidays, have you or your spouse ever received a gift exceeding \$50.00 in value? Please identify the donor, the value of the gift, the date received and the circumstances in which the gift was made.

#### **V. Tax Information**

- (33) Please furnish a copy of each federal and state (and, if applicable, municipal or foreign) tax return, including any amended return, for 2005 and all subsequent years. If filed separately, furnish the same documents for your spouse.
- (34) Have you and your spouse filed all required federal, state, local and foreign income tax returns?
- (35) Have you or your spouse ever filed a late tax return without a valid extension? If so describe the circumstances and resolution of the matter.
- (36) Have you ever paid any tax penalties? If so, describe the circumstances and the resolution of the matter.

- (37) Has a tax lien or other collection procedure ever been instituted against you or your spouse by federal, state or local authorities? If so, describe the circumstances and the resolution of the matter.
- (38) Have you ever not paid U.S. and/or state income taxes because you were not resident in the United States, or for any other reason?
- (39) Have you been or do you have any expectation that you will be the subject of any tax, financial, or other audit or inquiry? If so, please describe.
- (40) Have you ever participated in an investment program or partnership which has been audited or investigated by federal, state or local authorities? If so, describe the circumstances and the resolution of the matter.
- (41) Please answer each of the questions in this section for your spouse (for the past ten years) and for any business with which you have been affiliated as an officer, director, partner, trustee or significant owner (i.e., any ownership interest of more than 5%).

#### **VI. Legal and Administrative Proceedings**

- (42) Please list any lawsuits you or your spouse have brought as a plaintiff or which were brought against you or your spouse as a defendant or third party, or in which you or your spouse have testified at trial or deposition. Include in this response any arbitrations, mediations, and contested divorce proceedings or other domestic relations matters.
- (43) Please list and describe any administrative agency proceedings in which you or your spouse have been involved as a party or material witness, and describe the details of your involvement.
- (44) Please list any insolvency or bankruptcy proceedings in which you or your spouse have been involved as a debtor, creditor, claimant, or defendant, and describe details of that involvement.
- (45) If you have ever been investigated by any law enforcement agency (whether federal, state, military, local, Indian or foreign), arrested for, charged with, or convicted of violating any law, regulation or ordinance (whether federal, state, military, local, Indian or foreign), please identify each such instance and supply details, including: date, place; law enforcement agency; and court. Provide the same information for your spouse and for each child over 21, and for any business with which you have been affiliated (e.g., as a director, officer, partner, trustee, or holder of any significant ownership interest), and furnish all pertinent records. You may exclude traffic offenses for which the fine was less than \$50.



